



IRW

PATENT
Attorney Docket No.: UBC1110-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hancock et al. Art Unit: 1646
Application No.: 10/823,425 Examiner: Unassigned
Filed: April 12, 2004
Title: ANTI-ENDOTOXIC ANTIMICROBIAL CATIONIC PEPTIDES AND
METHODS OF USE THEREFOR

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

TRANSMITTAL SHEET

Sir:

Transmitted herewith for the above-identified application, please find:

- (1) Information Disclosure Statement (2 pgs.);
- (2) PTO Form 1449 (1 pg.);
- (3) Two (2) References;
- (4) European Search Report (6 pgs.); and
- (5) Return postcard.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on December 2, 2004, in an envelope addressed to: Mail Stop: Amendments, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Lisa E. Jambeau

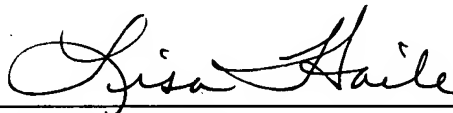
In re Application of:
Hancock et al.
Application No.: 10/823,425
Filed: April 12, 2004
Page 2

PATENT
Attorney Docket No.: UBC1110-3

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated September 2, 2004, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 07-1896.

Respectfully submitted,

Date: December 2, 2004



Lisa A. Haile, J.D. Ph.D.
Registration No. 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2133
USPTO Customer No. 28213



PATENT
Attorney Docket No.: UBC1110-3

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Hancock et al. Art Unit: 1646
Application No.: 10/823,425 Examiner: Not Yet Assigned
Filed: April 12, 2004
Title: ANTI-ENDOTOXIC ANTIMICROBIAL CATIONIC PEPTIDES AND
METHODS OF USE THEREFOR

Mail Stop: Amendment
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

INFORMATION DISCLOSURE STATEMENT

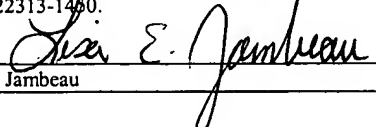
Sir:

In accordance with 37 C.F.R. §1.97, enclosed are references relating to the above-identified application. Each item of information contained in the Information Disclosure Statement was first cited in a communication in a related foreign patent application not more than three months prior to the filing of this submission. For the convenience of the Examiner, these references are listed on the attached Form PTO-1449 and copies are enclosed herewith.

It is respectfully requested that these references be considered in the examination of this application and that its consideration be made of written record in the application file.

CERTIFICATION UNDER 37 CFR §1.8

I hereby certify that the documents referred to as enclosed herein are being deposited with the United States Postal Service as first class mail on December 2, 2004, in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.


Lisa E. Jambeau

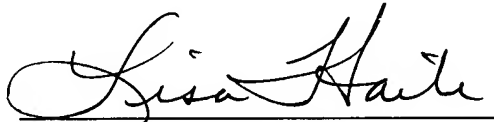
In re Application of:
Hancock et al.
Application No.: 10/823,425
Filed: April 12, 2004
Page 2

PATENT
Attorney Docket No.: UBC1110-3

No fee is deemed necessary in connection with the filing of this Information Disclosure Statement as the items of information contained in this statement were cited in a communication from a foreign patent office in a counterpart foreign application, the communication being dated September 2, 2004, which is not more than three months prior to the filing of this statement. However, if any fee is required, authorization is hereby given to charge the amount of any such fee, or credit any overpayment, to Deposit Account No. 07-1896.

Respectfully submitted,

Date: December 2, 2004



Lisa A. Haile, J.D., Ph.D.
Registration No.: 38,347
Telephone: (858) 677-1456
Facsimile: (858) 677-1465

GRAY CARY WARE & FREIDENRICH LLP
4365 Executive Drive, Suite 1100
San Diego, California 92121-2133
USPTO Customer Number 28213

FORM PTO-1449 U.S. Department of Commerce Patent and Trademark Office	Docket No. UBC1110-3	Serial No.: 10/823,425
	Applicant: Robert E.W. Hancock et al.	
INFORMATION DISCLOSURE STATEMENT BY APPLICANT	Filing Date: April 12, 2004	Group Art Unit: 1646

U.S. PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE

FOREIGN PATENT DOCUMENTS

EXAM. INITIALS		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION (YES/NO)
	AA	WO98/40401	09/18/98	PCT			NO

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages)

	AB	Scott MG. et al., "Biological Properties of Structurally Related alpha-Helical Cationic Antimicrobial Peptides", Infection and Immunity, American Society for Microbiology, Washington, US, Vol. 76, No. 4, pp. 2005-2009 (1999)

EXAMINER GT\6425269.1 104776-28	DATE CONSIDERED
--	------------------------

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.